**DOCKET NO.: CARP-0057** 



**PATENT** 

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE MAI GIA COSTONIER SERVICE CENTER

In Re Application of:						
Adair et al.						
Serial No.: 08/846,658		Group Art Unit: 1642				
Filin	g Date: May 1, 1997	Examiner: J. Reeves				
For:	HUMANIZED ANTIBODIES	DATE OF DEPOSIT: November 5, 1998				
		I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.				
		TYPED NAME: Francis A. Pamtin REGISTRATION NO.: 19,386				
Box	□ non-feë □af					
	ant Commissioner for Patents ngton DC 20231					
Sir:						
	AMENDMENT TRA	NSMITTAL LETTER				
	Transmitted herewith for filing in the a	bove-identified patent application is:				
	A Fourth Preliminary Amendment.					
	An Amendment Responsive to the Off	ice Action Dated				
	An Amendment Supplemental to the P Other:	aper filed				

	Small entity status of this application under 37 C.F.R. 1.9 and 1.27 was established in a previous submission.				
	A Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27 is enclosed.				
	This application is no longer entitled to small entity status. It is requested that this be noted in the files of the Patent and Trademark Office.				
	Substitute Pages of the Specification are enclosed.				
o ·	An Abstract is enclosed.				
	Sheets of Proposed Corrected Drawings are enclosed.				
	A Certified Copy of each of the following applications: is enclosed.				
	An Associate Power of Attorney is enclosed.				
	Information Disclosure Statement.  Attached Form 1449.  A copy of each reference as listed on the attached Form PTO-1449 is enclosed herewith.				
	Appended Material as follows:				
×	Other Material as follows: Copies of Queen et al. U.S. Pat. Nos. 5,693,761 and 5,693,762				

# FEE CALCULATION

<b>*****</b>		********	******	SMALL	ENTITY	NOT SMAI	LL ENTITY
	REMAINING AFTER AMENDMENT	HIGHEST PAID FOR	EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	25	20 (20 MINIMUM)	5	\$11 EACH	\$	\$22 EACH	\$110
INDEP. CLAIMS	14	3 (3 MINIMUM)	11	\$41 EACH	\$	\$82 EACH	\$902
FIRST PRESENTATION OF MULTIPLE DEPENDENT				\$135	\$	\$270	\$0
ONE MONTH EXTENSION OF TIME				\$55	\$	\$110	\$
☐ TWO MONTH EXTENSION OF TIME				\$200	\$	\$400	\$
☐ THREE MONTH EXTENSION OF TIME				\$475	\$	\$950	\$
☐ FOUR MONTH EXTENSION OF TIME				\$755	\$	\$1510	\$
☐ FIVE MONTH EXTENSION OF TIME				\$1030	\$	\$2060	\$
☐ LESS ANY EXTENSION FEE ALREADY PAID				minus	(\$ )	minus	(\$ )
☐ TERMINAL DISCLAIMER			\$55	\$	\$110	\$	
□ OTHER FEE OR SURCHARGE AS FOLLOWS:							
TOTAL FEE DUE							\$1012

$\boxtimes$	A Check is Enclosed in the Foregoing Amount Due.
	Petition is hereby made under 37 C.F.R. 1.136(a) to extend the time for response to the Office Action of @@ to and through @@ comprising an extension of the shortened statutory period of @@ month(s).

冈 The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the aboveidentified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.  $\boxtimes$ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate. The Foregoing Amount Due for Filing this Paper. 冈 Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.

Any additional patent application processing fees under 37 C.F.R. 1.17 or

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: November 5, 1998

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1.20(d).

Francis A. Paintin

Registration No. 19,386

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PATENT 14/F

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adair et al

Serial No.: 08/846,658

Group Art Unit: 1642

Filed: May 1, 1997

Examiner: J. Reeves

For: HUMANIZED ANTIBODIES

I, Francis A. Paintin, Registration No. 19386 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On Nov. 5, 1998 Grane's A. Paintin

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

### FOURTH PRELIMINARY AMENDMENT

Please amend the above-identified application as follows:

## In the claims:

Please enter the following claims 32-48 in this application:

encoding heavy and light chain variable regions of a humanized immunoglobulin having complementarity determining regions (BDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light chain frameworks, which humanized immunoglobulin

